

07-27-01

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Transmittal

1050 U.S. PTO
09/915884
07/26/01

07/26/01

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231
Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: Joseph Paul Kuczynski

For: OPTICAL SUBASSEMBLY (OSA) FOR OPTOELECTRONIC MODULES, AND
METHOD OF MAKING SAME

ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION
ASSIGNEE RESIDENCE: ARMONK, NEW YORK

Enclosed are:

- ☒ 13 Pages of Specification, 4 Pages of Claims, 1 Page of Abstract, 4 Sheets of Drawings
- ☒ A Declaration and Power of Attorney
- ☒ An Information Disclosure Statement and Form PTO-1449
- ☐ A certified copy of a _____ application, Application No. _____, filed _____
- ☒ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504 and Recordation form PTO-1595
- ☐

The filing fee has been calculated as follows:

| For: | No. Filed | No. Extra |
|---|-----------|-----------|
| Basic Fee | | |
| Total Claims | 21 - 20 = | 1 |
| Indep. Claims | 3 - 3 = | 0 |
| <input type="checkbox"/> Multiple Dependent Claim Presented | | |

Other Than Small Entity

| Rate | Fee |
|------------|-----------|
| | \$ 710.00 |
| x \$18.00= | 18.00 |
| x \$80.00= | 0.00 |
| \$270.00 | \$ 0.00 |
| TOTAL | 728.00 |

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EK208429710US
Date: July 26, 2001

I hereby certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

James R. Nock
James R. Nock

Patent Application Transmittal
Attorney Docket No.: ROC920010031US1

Deposit Account Authorization:

- ☒ Please charge Deposit Account No. 09-0465 in the amount of \$728.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0465. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

By James R. Nock
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Date: July 26, 2001

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Attorney Docket No.: ROC920010031US1